



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: de Jong *et al.*

Serial No.: 10/086,745

Conf. No.: 8781

Filed: February 28, 2002

For: **METHODS FOR DELIVERING  
NUCLEIC ACID MOLECULES  
INTO CELLS AND ASSESSMENT  
THEREOF**

Art Unit: 1636

Examiner: Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number **#14**  
EV 260129433 US

Date of Deposit April 14, 2003

I hereby certify that this paper is being deposited  
with the United States Postal "Express Mail Post  
Office to Addressee" Service under 37 CFR  
§1.10 on the date indicated above and addressed  
to:

Commissioner for Patents,  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

04/14/03  
Date

Jonathan Ong

RECEIVED  
APR 22 2003  
TECH CENTER 1600/2900

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

Dear Sir:

Since this Supplemental Information Disclosure Statement is filed before receipt of a first Office Action on the merits for the above-captioned application, no fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Form PTO-1449 (1 page) and cited references are provided herewith.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicant also makes known to the Examiner the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common in the instant application:

<b><u>U.S.S.N.(App. no.)</u></b>	<b><u>Filing Date</u></b>	<b><u>Docket No.</u></b>
09/096,648	06/12/98	24601-402A
09/724,726	11/28/00	24601-402E
09/724,872	11/28/00	24601-402F
09/724,693	11/28/00	24601-402G
09/799,462	04/17/01	24601-402H
09/836,911	04/17/01	24601-402I
10/125,767	04/17/02	24601-402J
10/151,078	05/16/02	24601-402K
10/151,081	05/16/02	24601-402L
10/219,694	08/14/02	24601-402M
10/287,313	11/01/02	24601-402N
09/815,979	03/22/01	24601-416
09/815,981	03/22/01	24601-416B
10/235,119	09/03/02	24601-416D
10/161,408	05/30/02	24601-419
10/161,403	05/30/02	24601-420
60/377,547	05/01/02	24601-P426

<b><u>Int'l App. no.</u></b>	<b><u>Filing Date</u></b>	<b><u>Docket No.</u></b>
97920284.3	04/10/97	24601-402EP
PCT/US02/09262	03/22/02	24601-416PC
PCT/US02/17451	05/30/02	24601-419PC
PCT/US02/17452	05/30/02	24601-420PC

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

\* \* \*

**U.S.S.N 10/086,745**

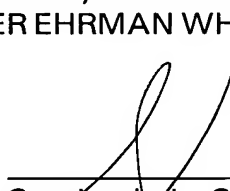
**de Jong *et al.***

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,  
HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

  
\_\_\_\_\_  
Stephanie L. Seidman  
Registration No. 33,779

Attorney Docket No. 24601-416C

**Address all correspondence to:**

Stephanie Seidman, Esq.

HELLER EHRMAN WHITE & MCAULIFFE LLP

4350 La Jolla Village Drive, 7th floor

San Diego, CA 92122-1246

Telephone: 858 450-8400

Facsimile: 858 587-5360

email: sseidman@HEWM.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: de Jong *et al.*  
Serial No.: 10/086,745  
Conf. No.: 8781  
Filed: February 28, 2002  
For: **METHODS FOR DELIVERING  
NUCLEIC ACID MOLECULES  
INTO CELLS AND ASSESSMENT  
THEREOF**  
Art Unit: 1636  
Examiner: Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EV 260129433 US

Date of Deposit April 14, 2003

I hereby certify that this paper is being  
deposited with the United States Postal  
"Express Mail Post Office to Addressee"  
Service under 37 C.F.R. § 1.10 on the date  
indicated above and addressed to:

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202, on this date.

04/14/03  
Date

Jonathan Ong

TRANSMITTAL LETTER

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

APR 22 2003

TECH CENTER 1600/2900

Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page), and cited references for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 50-1213, as stated below:

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stephanie Seidman  
Registration No. 33,779

Attorney Docket No.: 24601-416C  
Address all correspondence to:  
Stephanie Seidman, Esq.  
HELLER, EHRMAN, WHITE & McAULIFFE LLP  
4350 La Jolla Village Drive, 7th floor  
San Diego, CA 92122-1246  
Telephone: (858) 450-8400  
Facsimile: (858) 587-5360  
EMAIL: sseidman@hewm.com